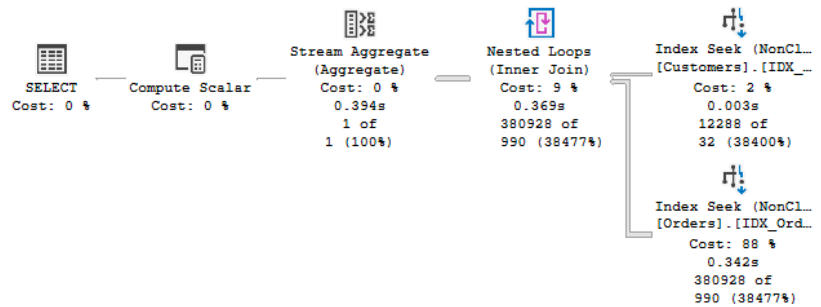


Red and Red in



Dmitri Korotkevitch
<http://aboutsqlserver.com>

Hello!

20+ years of experience in IT

15+ years of experience working with SQL Server

Microsoft Data Platform MVP

Microsoft Certified Master

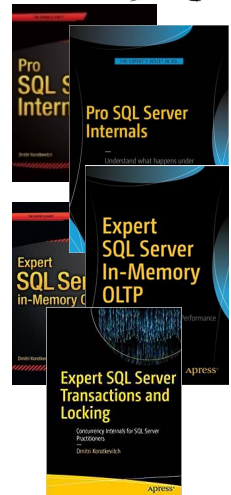
Author

- Pro SQL Server Internals (v1-2)
- Expert SQL Server In-Memory OLTP (v1-2)
- Expert SQL Server Transactions and Locking

Blog: <http://aboutsqlserver.com>

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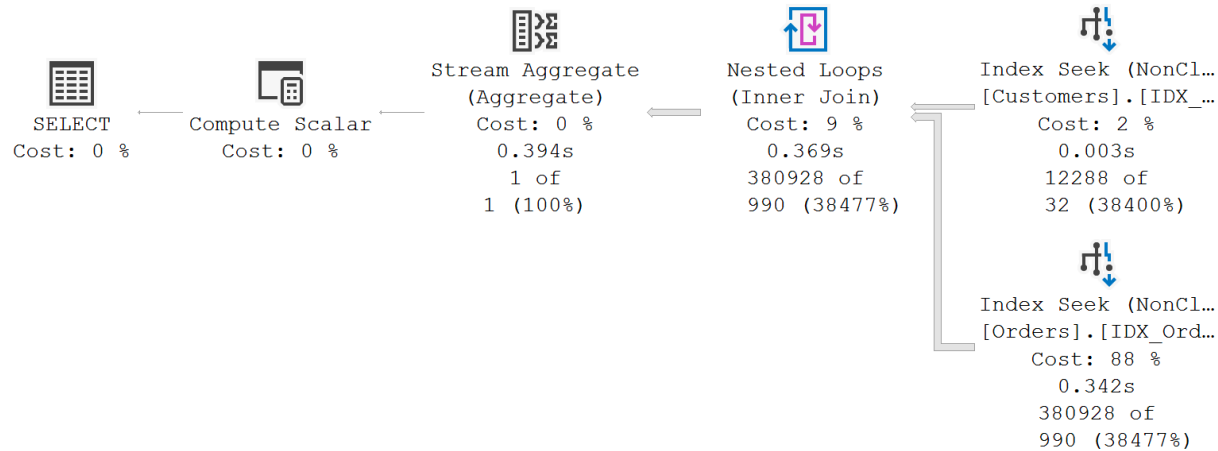
YouTube: [AboutSQLServer](#)



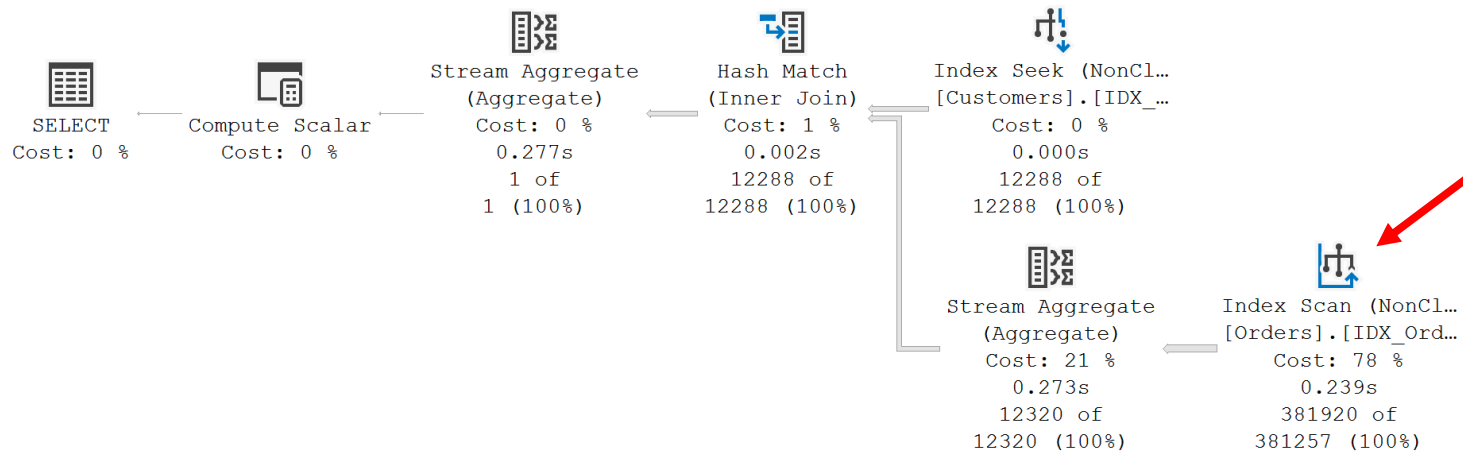
Time for Quiz

```
select @State, sum(o.Amount) as [Total Sales]
from dbo.Customers c join dbo.Orders o on
    c.CustomerID = o.CustomerID
where
    c.CustomerState = @State and
    o.OrderDate between @StartDate and @EndDate
```

Query 1: Query cost (relative to the batch): 1% 
 select @State, sum(o.Amount) as [Total Sales] from dbo.Customers c join dbo.Orders o on c.CustomerID = o.Customer



Query 2: Query cost (relative to the batch): 99% 
 select @State, sum(o.Amount) as [Total Sales] from dbo.Customers c join dbo.Orders o on c.CustomerID = o.Customer
 Missing Index (Impact 79.2962): CREATE NONCLUSTERED INDEX [<Name of Missing Index, sysname,>] ON [dbo].[Orders] (



Query 1: Query cost (relative to the batch): 1%
 select @State, sum(o.Amount) as [Total Sales] from dbo.Customers c join dbo.Orders o on c.CustomerID = o.CustomerID



Table 'Orders'. Scan count 12288, logical reads 66038,
 Table 'Customers'. Scan count 1, logical reads 48, physical reads 0

SQL Server Execution Times:

CPU time = 391 ms, elapsed time = 395 ms.

[Orders].[IDX_Ord...]
 Cost: 88 %
 0.342s
 380928 of
 990 (38477%)

Query 2: Query cost (relative to the batch): 99%
 select @State, sum(o.Amount) as [Total Sales] from dbo.Customers c join dbo.Orders o on c.CustomerID = o.CustomerID
 Missing Index (Impact 79.2962): CREATE NONCLUSTERED INDEX [<Name of Missing Index, sysname,>] ON [dbo].[Orders] (



Table 'Customers'. Scan count 1, logical reads 48, physical reads 0
 Table 'Orders'. Scan count 1, logical reads 14532, physical reads 0

SQL Server Execution Times:

CPU time = 196 ms, elapsed time = 209 ms.

Index Scan (NonCl...
 [Orders].[IDX_Ord...]
 Cost: 0 %
 0.000s
 288 of
 3 (100%)



Aggregate
 (Aggregate)
 Cost: 21 %
 0.273s
 12320 of
 12320 (100%)



Index Scan (NonCl...
 [Orders].[IDX_Ord...]
 Cost: 78 %
 0.239s
 381920 of
 381257 (100%)



Agenda

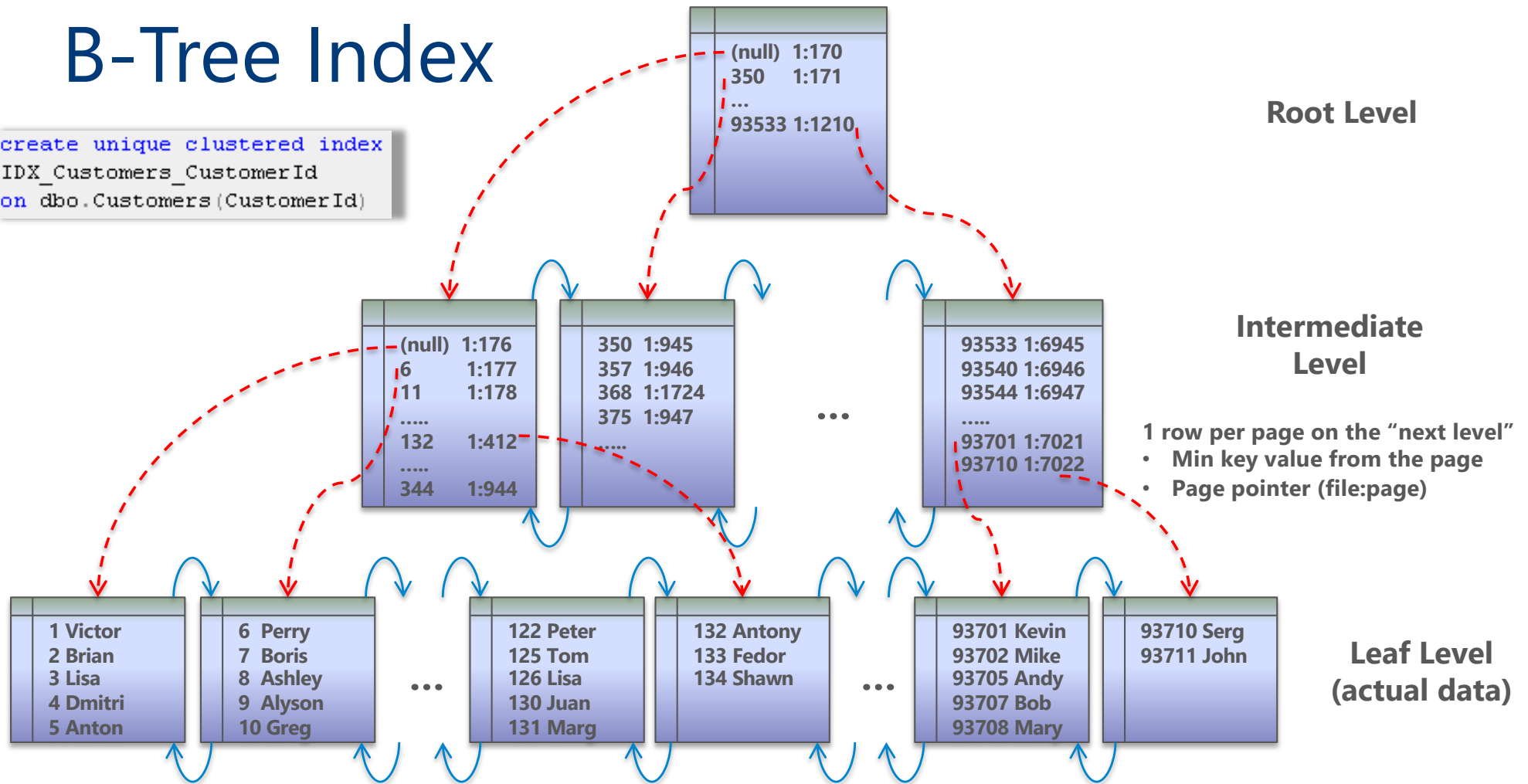
Seeks and Scans

Joins

Key Lookups

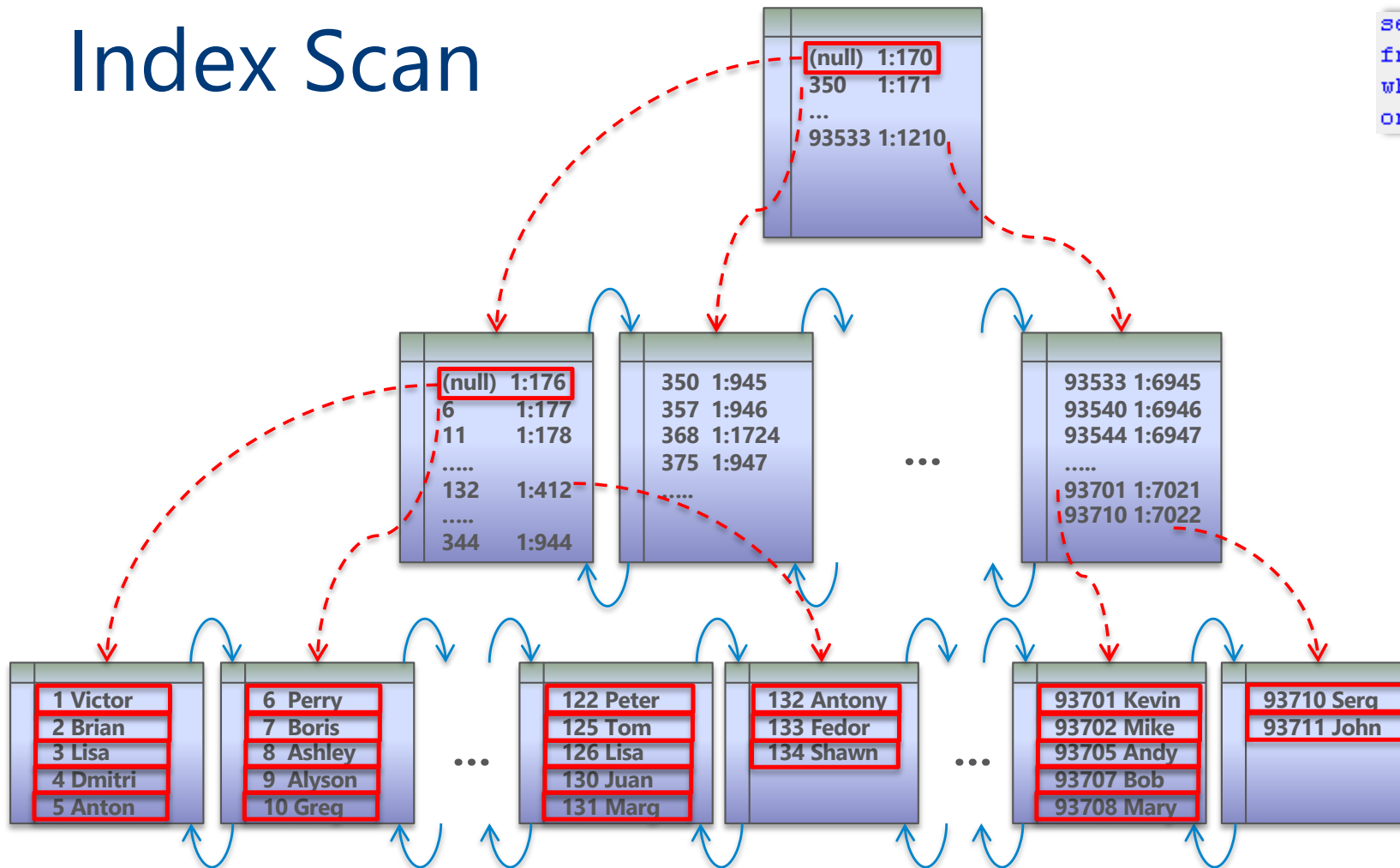
B-Tree Index

```
create unique clustered index  
IDX_Customers_CustomerId  
on dbo.Customers (CustomerId)
```

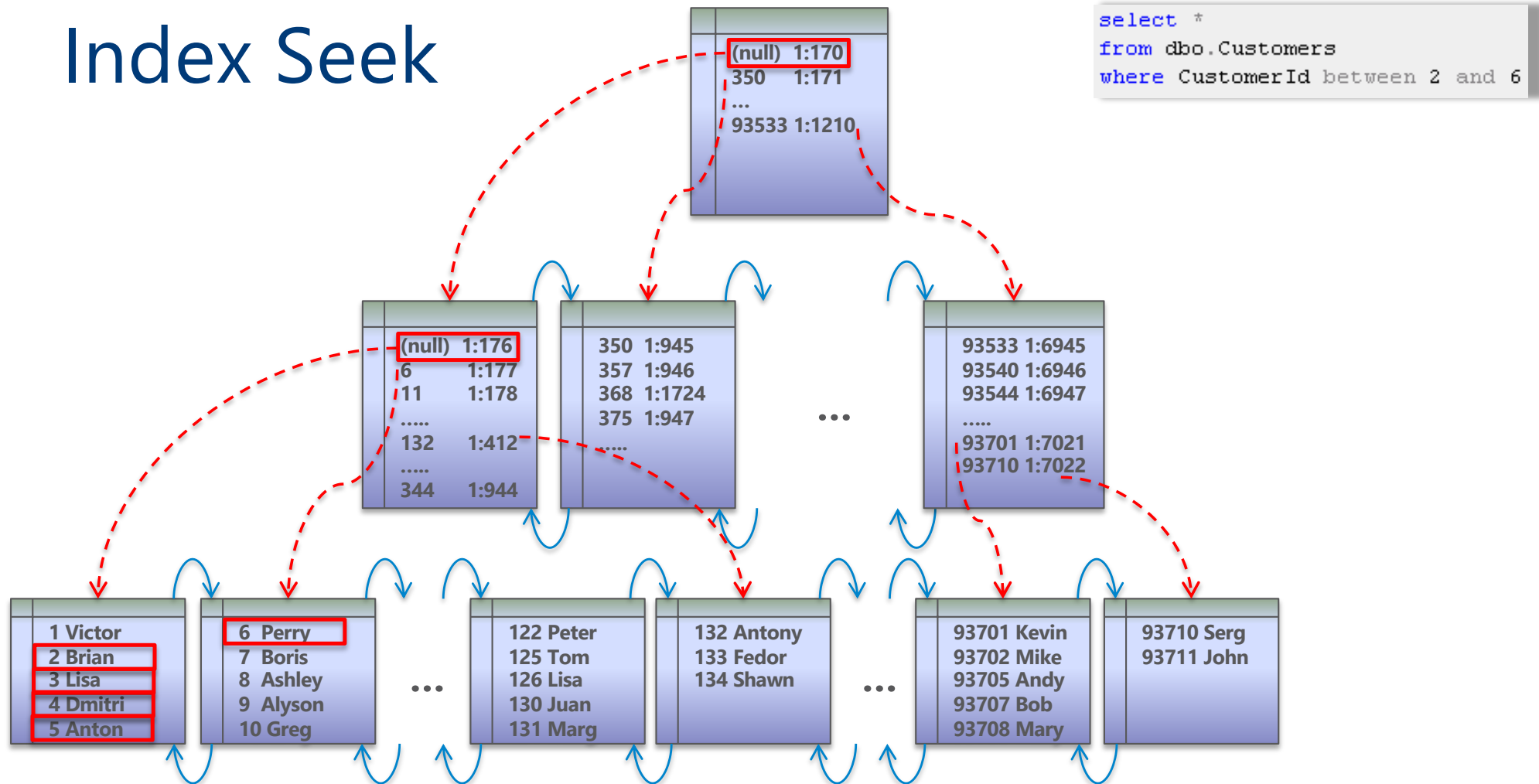


Index Scan

```
select *  
from dbo.Customers  
where Name = N'Boris'  
order by CustomerId
```



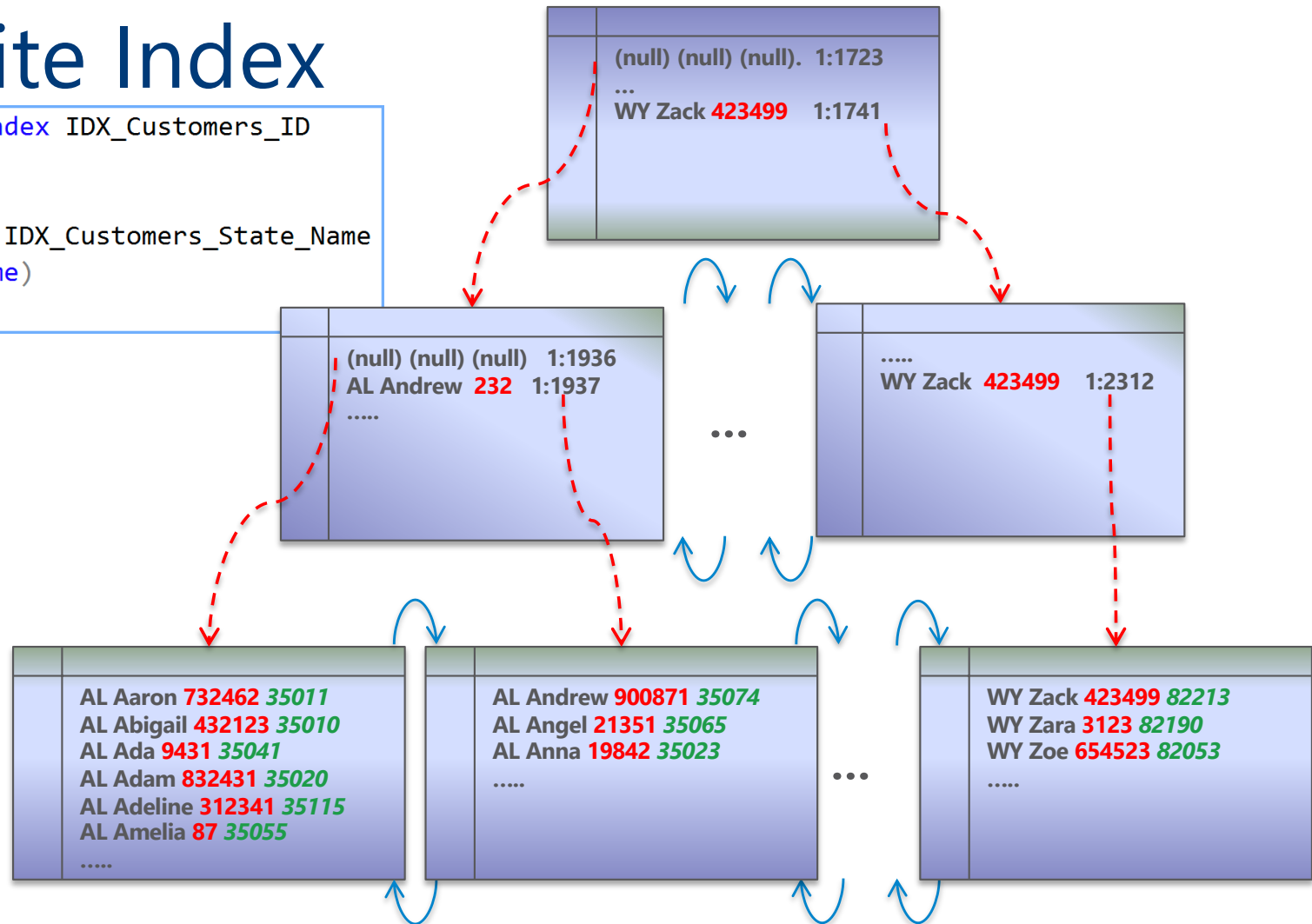
Index Seek



Composite Index

```
create unique clustered index IDX_Customers_ID  
on dbo.Customers (ID);
```

```
create nonclustered index IDX_Customers_State_Name  
on dbo.Customers (State, Name)  
include (ZipCode);
```





Index Seek

Demo

Index Seek

Cardinality
estimation
error

Index Seek (NonClustered)	
Scan a particular range of rows from a nonclustered index.	
Physical Operation	Index Seek
Logical Operation	Index Seek
Number of Rows Read	4497408
Actual Number of Rows	380928
Estimated Number of Rows	31
Estimated Number of Rows to be Read	366
Predicate	
[SQLServerInternals].[dbo].[Orders].[OrderDate] as [o]. [OrderDate] >= [@StartDate] AND [SQLServerInternals]. [dbo].[Orders].[OrderDate] as [o].[OrderDate] <=	
[@EndDate]	
Object	
[SQLServerInternals].[dbo].[Orders]. [IDX_Orders_CustomerId] [o]	
Output List	
[SQLServerInternals].[dbo].[Orders].Amount	
Seek Predicates	
Seek Keys[1]: Prefix: [SQLServerInternals].[dbo]. [Orders].CustomerId = Scalar Operator ([SQLServerInternals].[dbo].[Customers].[CustomerId] as [c].[CustomerId])	

of rows read / processed

*Large # of reads or large delta –
is index selective enough?*

of rows selected

Additional filter on all selected rows
*Can those column(s) be added as the
index key(s)?*

Filtering the range of the keys
Is it selective enough?



Joins

Three logical joins

- (Nested) Loop
- Hash
- Merge

Multiple physical joins

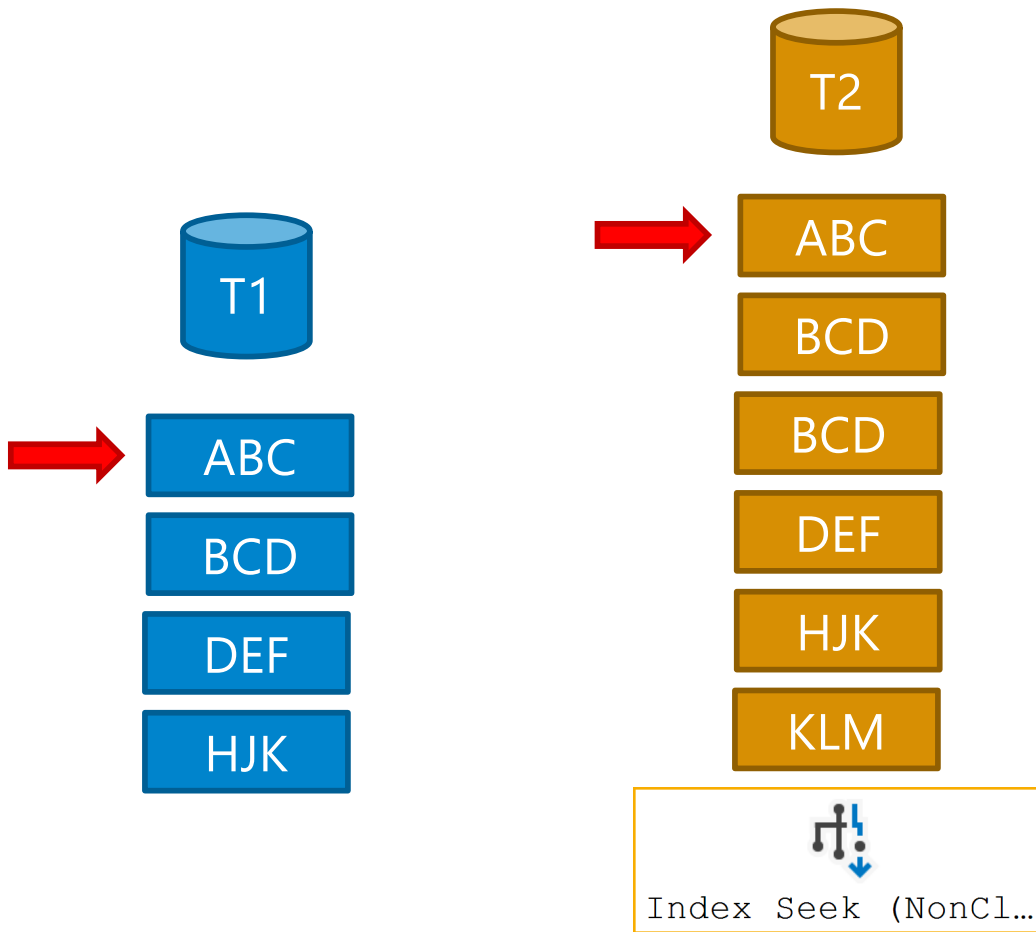
Joins

```
create table T1
(
    Col varchar,
    index IDX_T1(Col)
)

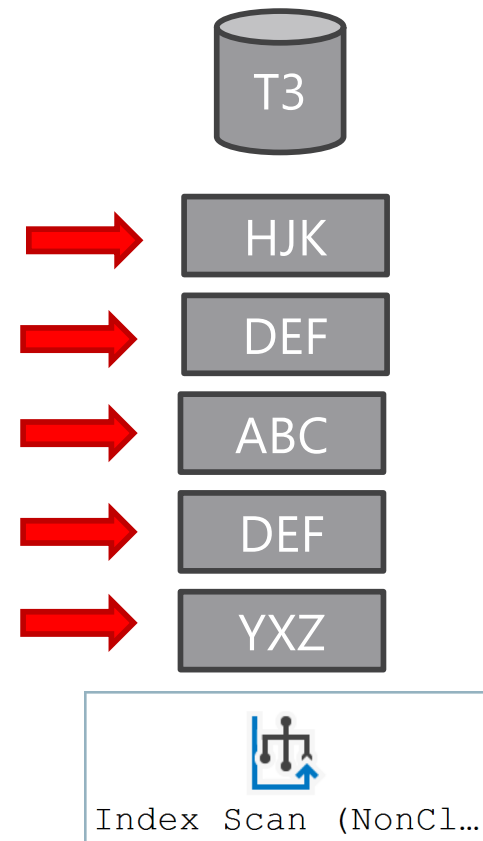
create table T2
(
    Col varchar,
    index IDX_T2(Col)
)

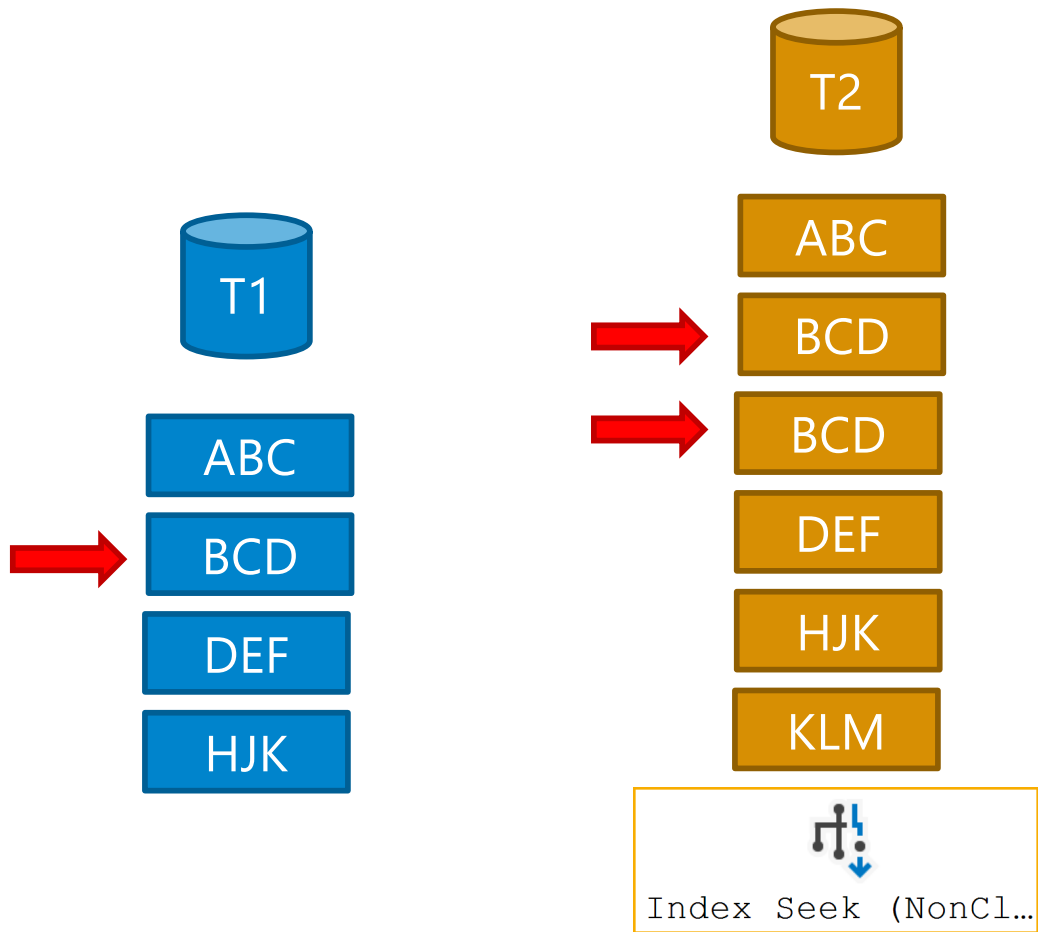
create table T3
(
    Col varchar
)
```

```
select *
from
    T1 join T2 on
        T1.Col = T2.Col
    join T3 on
        T1.Col = T3.Col
```

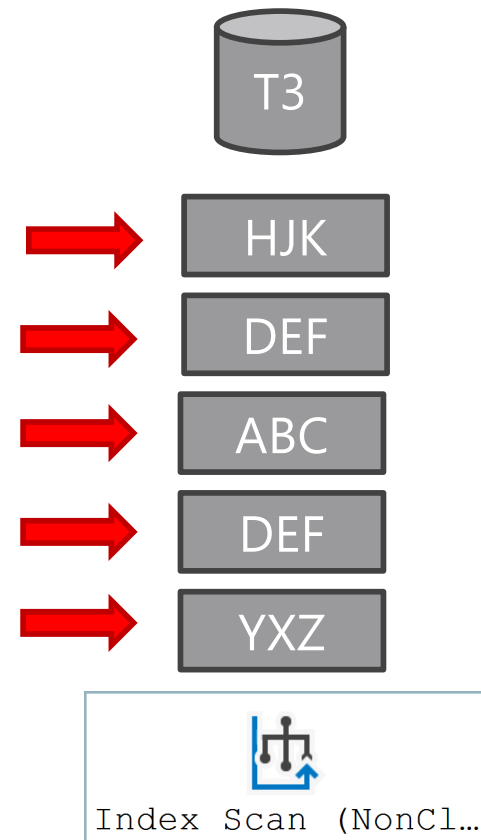


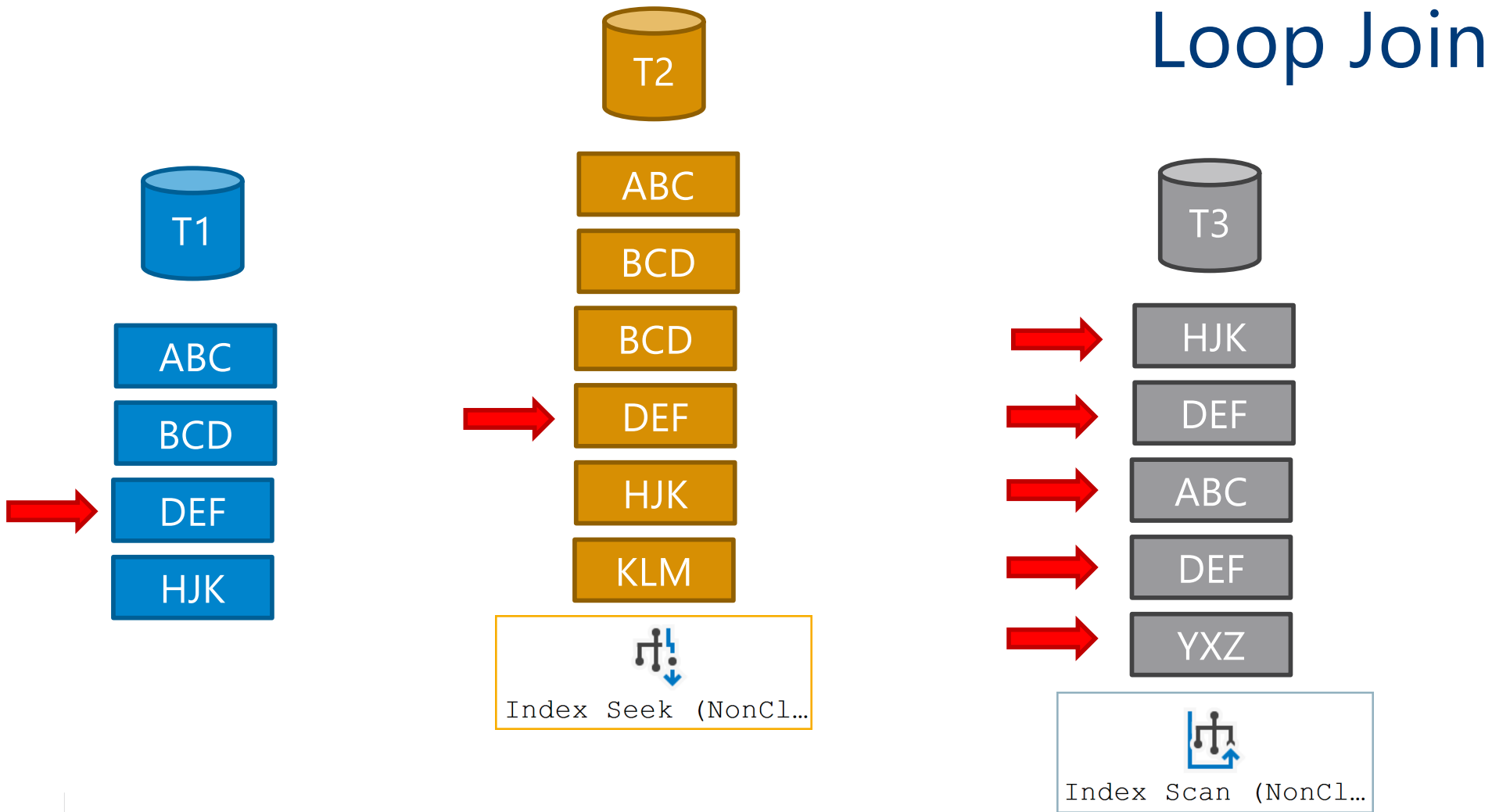
Loop Join

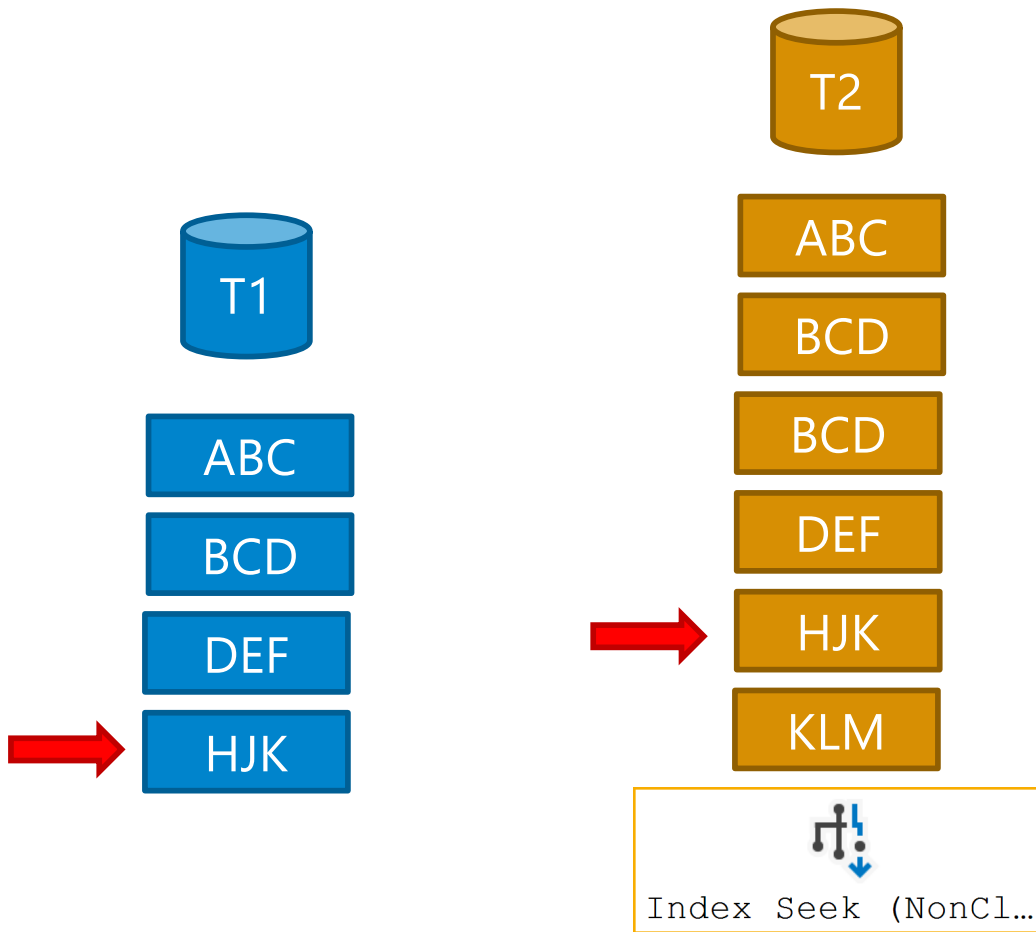




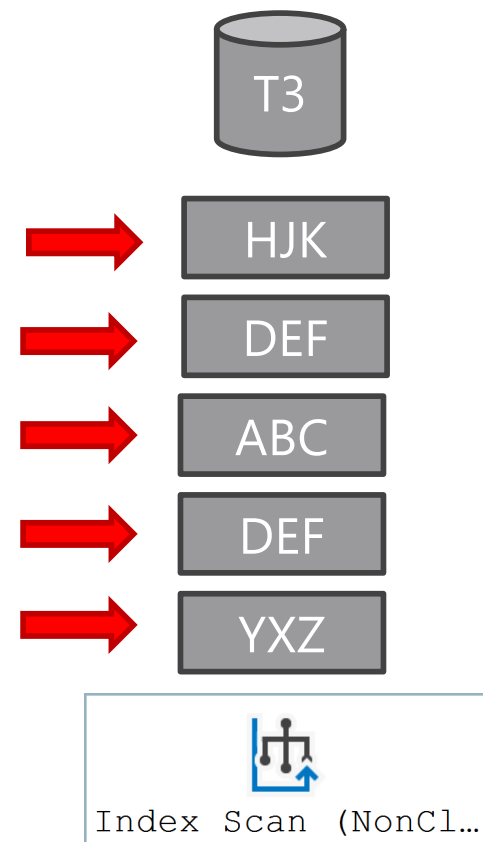
Loop Join





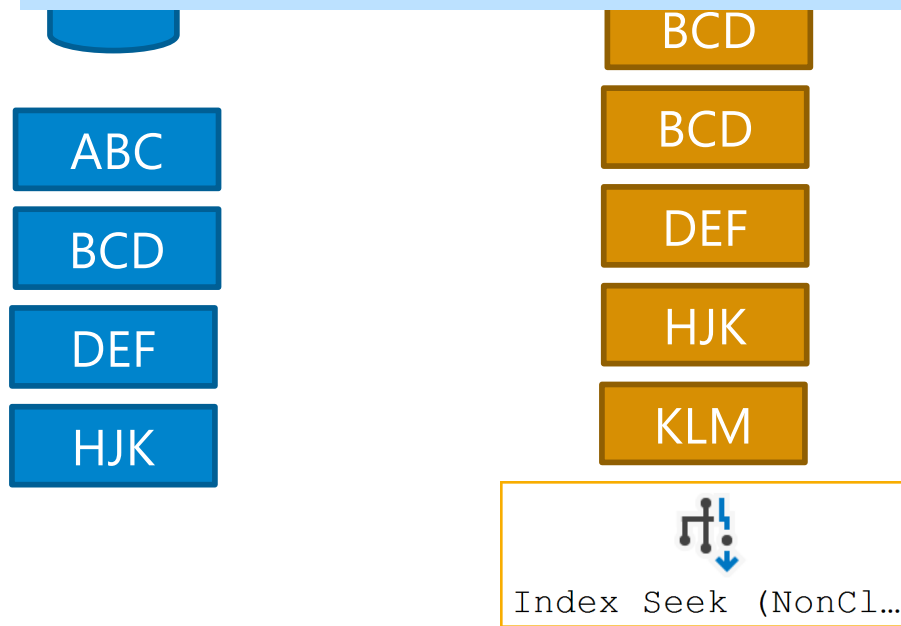


Loop Join

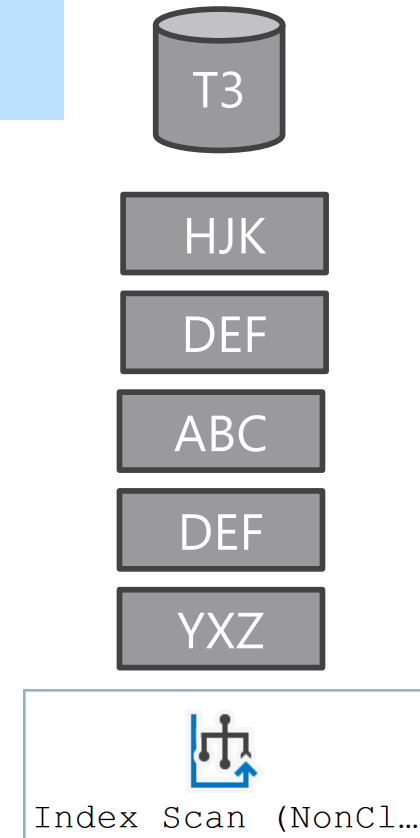


Cost:

(# of rows in outer input (T1)) *
cost of processing of inner input

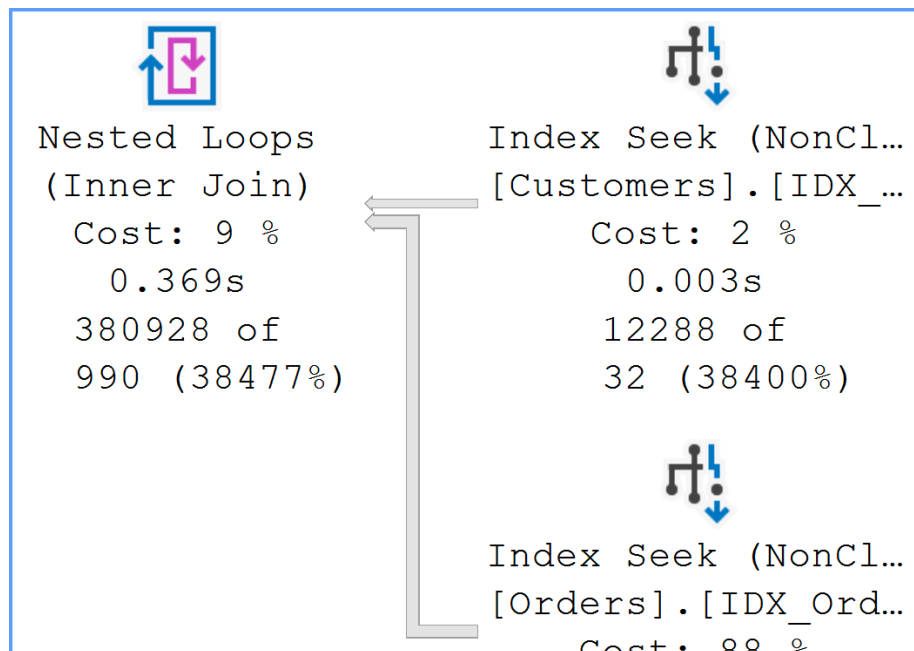


Loop Join



Cost:

(# of rows in outer input (T1)) *
cost of processing of inner input

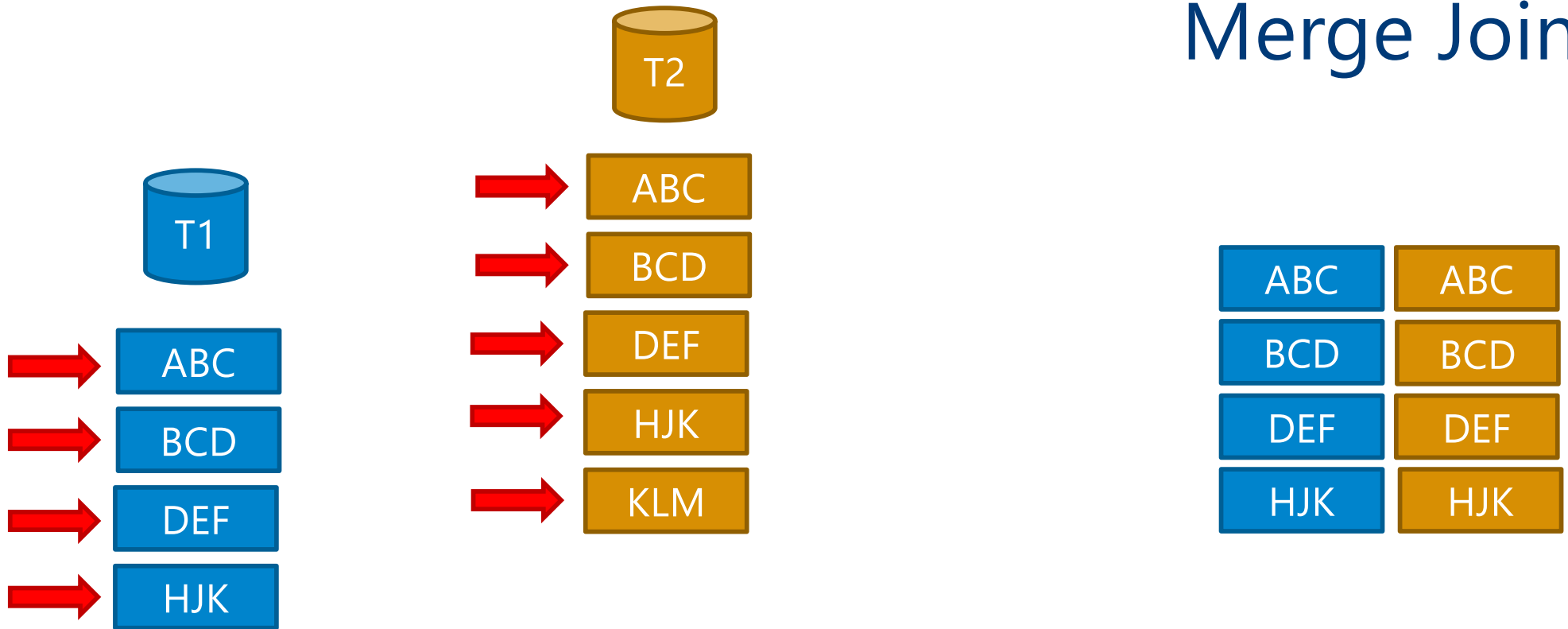


Loop Join

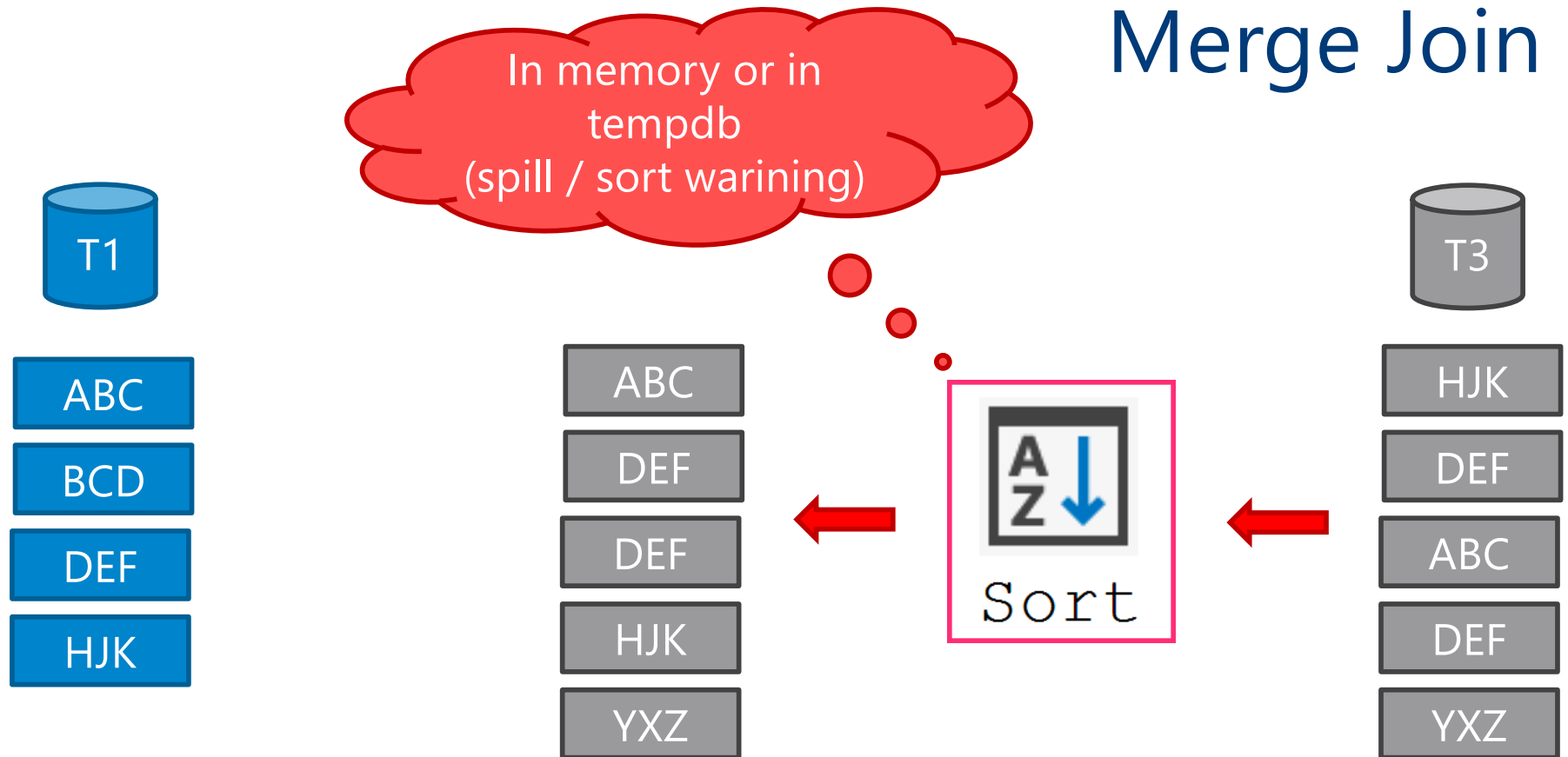
Index Seek (NonClustered)	
Scan a particular range of rows index.	
Actual Number of Rows	12288
Estimated Number of Rows	32

Index Seek (NonClustered)	
Scan a particular range of rows from index.	
Estimated Number of Executions	32
Number of Executions	12288

Merge Join



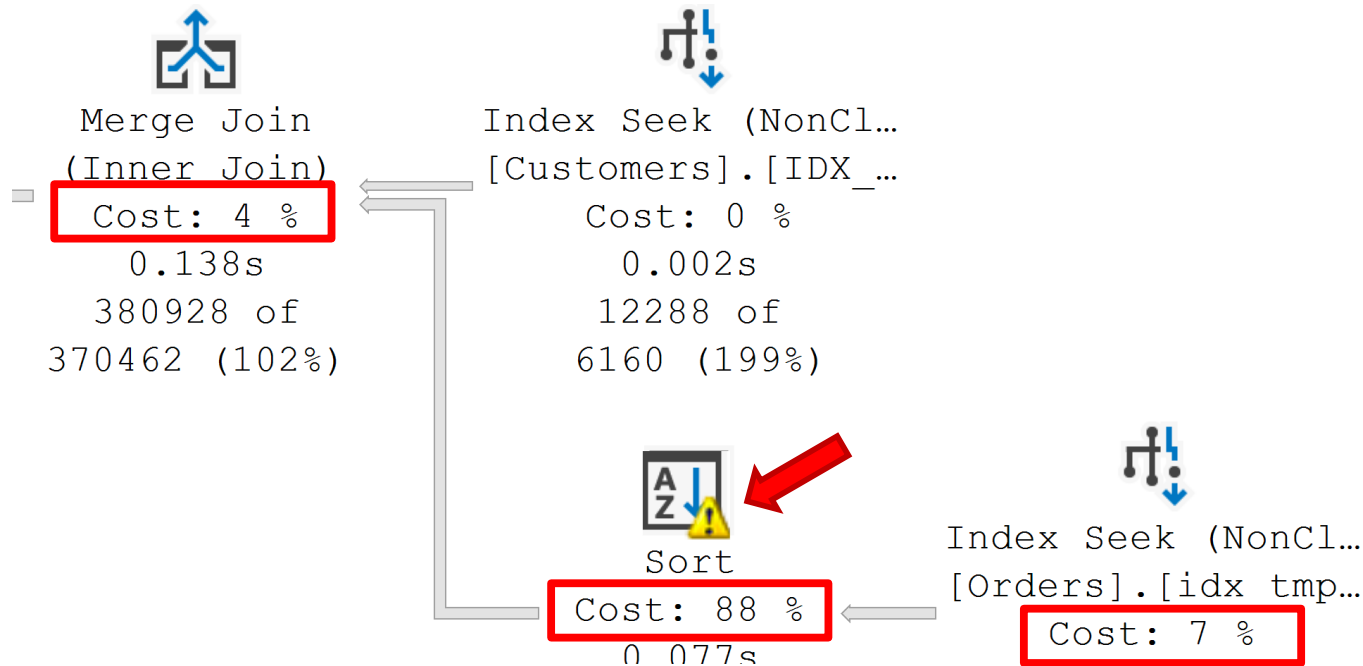
Merge Join



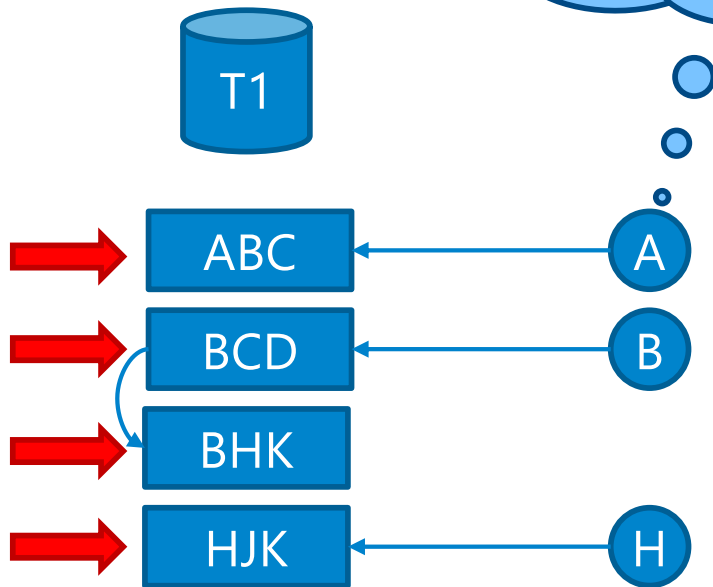
Cost:

cost of processing of input 1 +
cost of processing of input 2 +
cost of sort (if required)

Merge Join



Hash table
(In memory or
in tempdb)

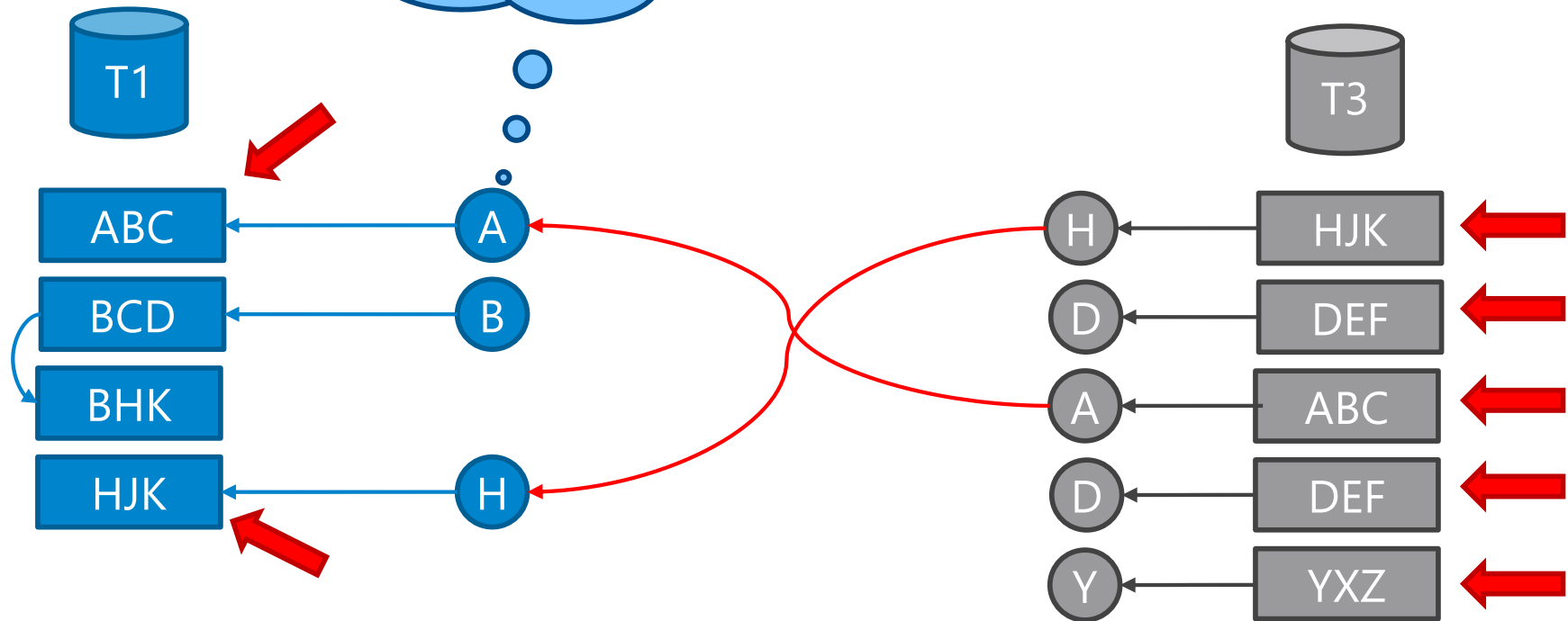


Hash Join



Hash Join

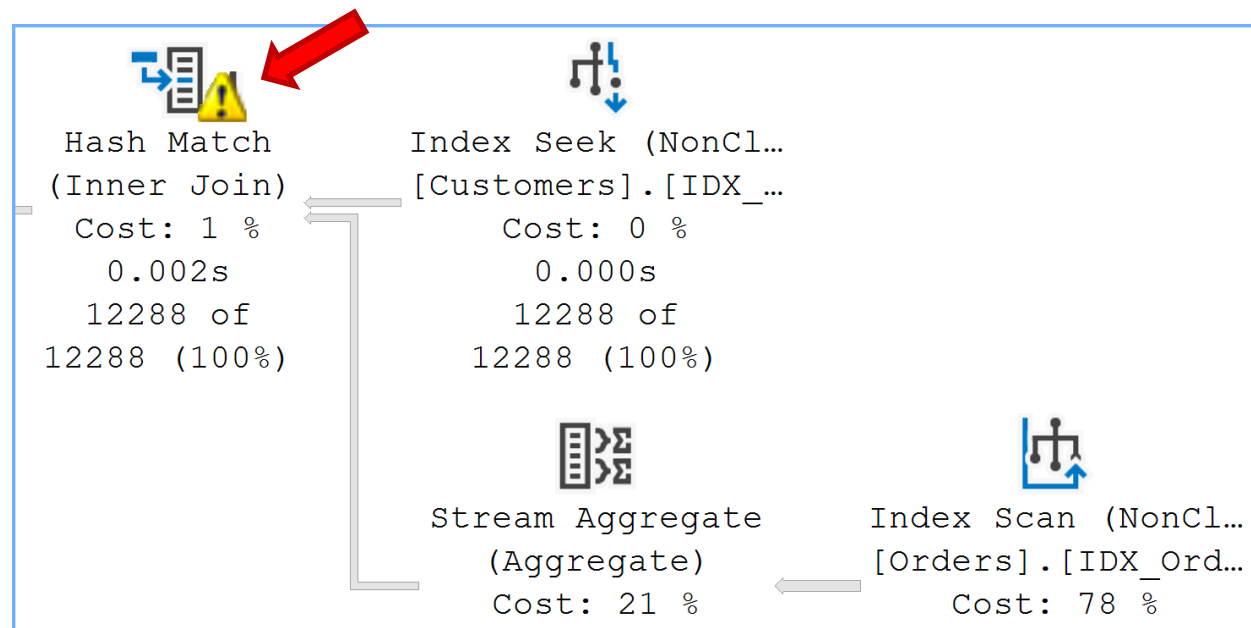
Hash table
(In memory or
in tempdb)



Cost:

cost of processing of input 1 +
cost of processing of input 2 +
cost of hash operations

Hash Join



Joins

	Loop	Hash	Merge
Use-case	Small outer input Low cost of inner input scan	Large unsorted inputs	Mid-size sorted inputs
Cost	Depends on cost of inner input scan and # of executions	Startup overhead with linear dependency on size of inputs	Low cost but may introduce expensive <i>Sort</i>
tempdb	No	May lead to spills	<i>Sort</i> may lead to spills
Blocking	No	Yes (hash table creation)	Yes if <i>Sort</i> is required



Joins

Demo



Cardinality Estimation Errors (Estimated vs. Actual)

Outdated statistics

- Redesign statistics maintenance strategy (TF2371, DB Compat Level, etc)

Parameter-sensitive plans / Parameter Sniffing

Model issues

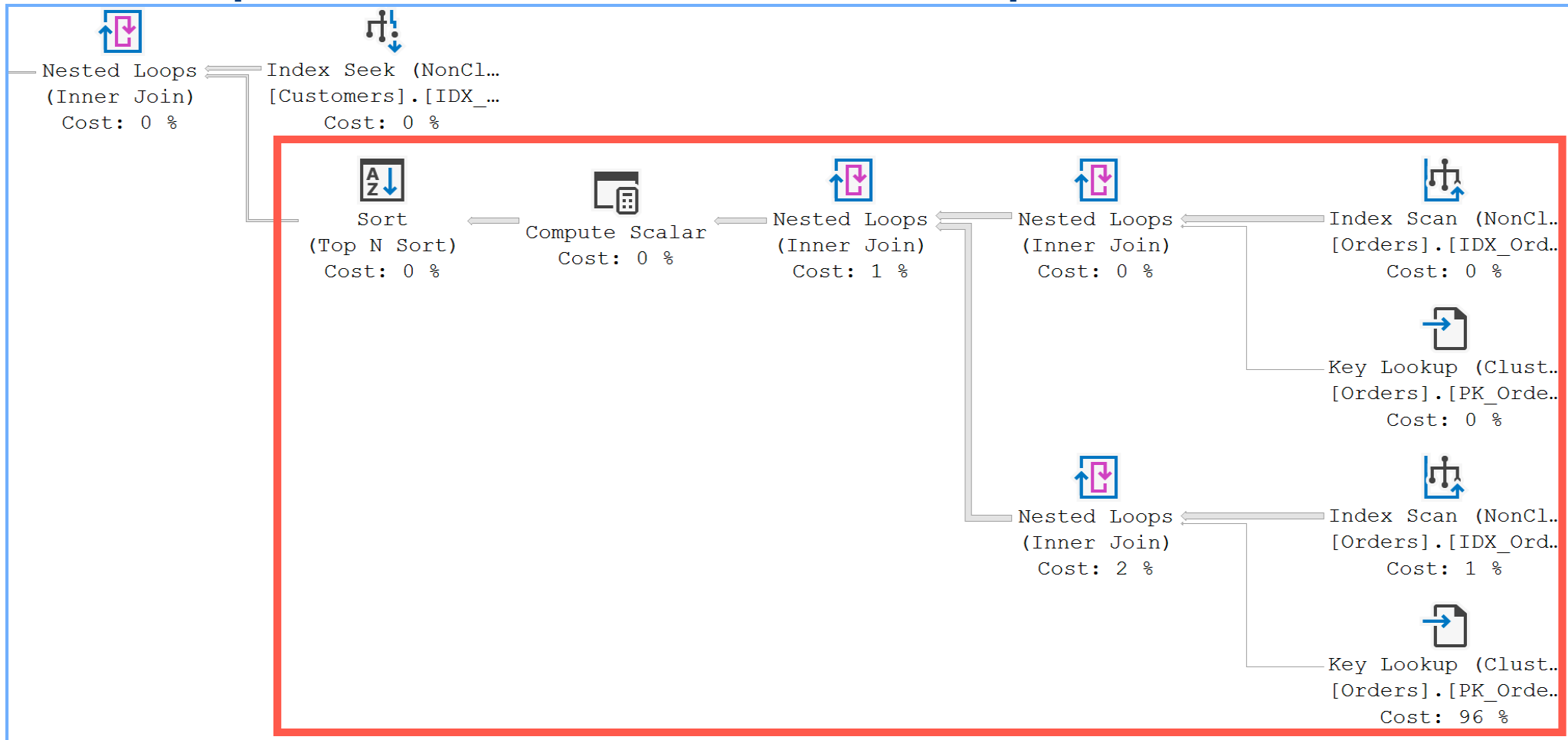
- Simplify / split queries
- Use temporary tables
- Remove multi-statement UDFs
- Change cardinality estimation model
- ... find the root cause



Parameter Sniffing

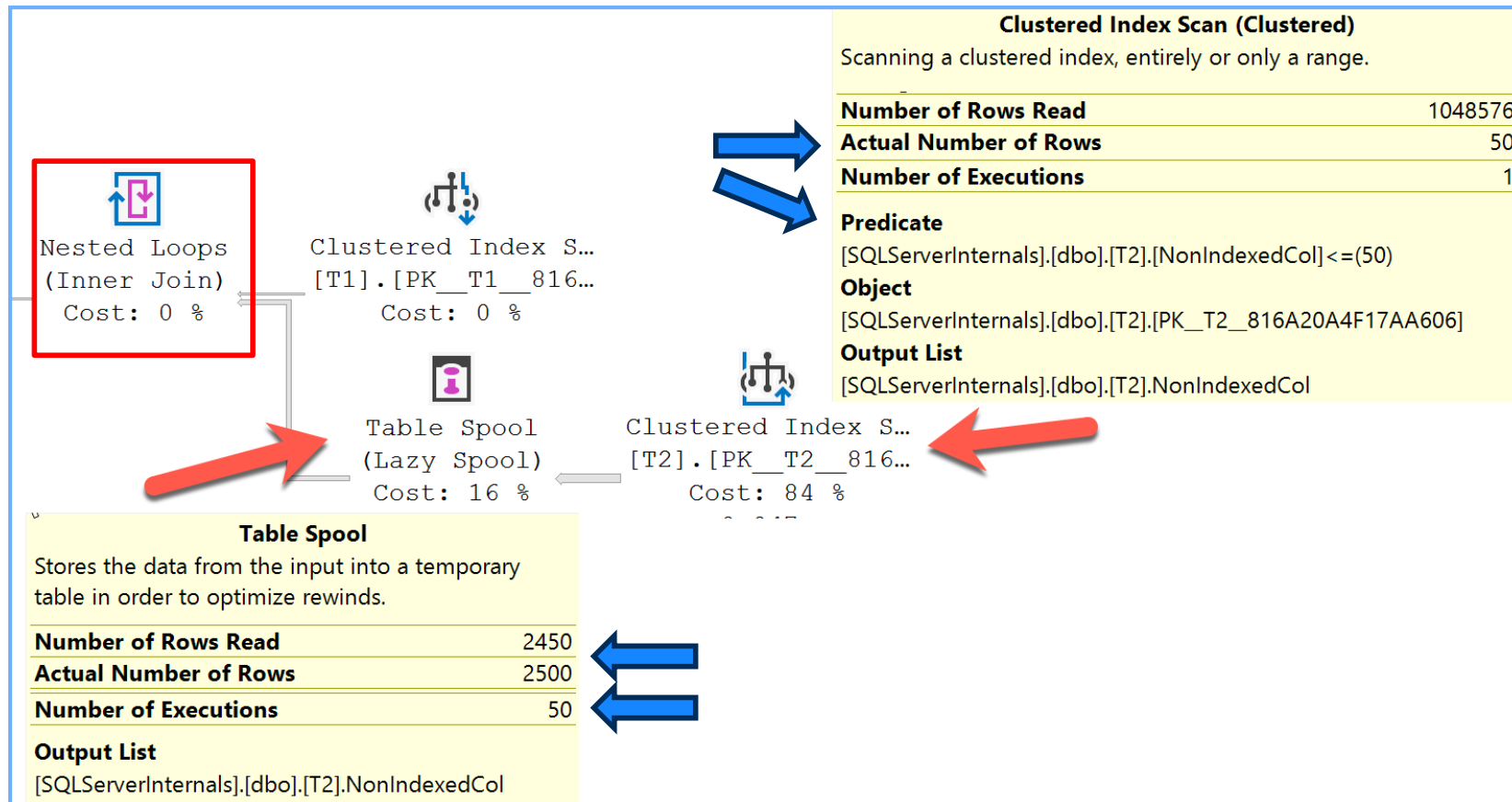
Demo

Loop Join: Cost of Inner Input

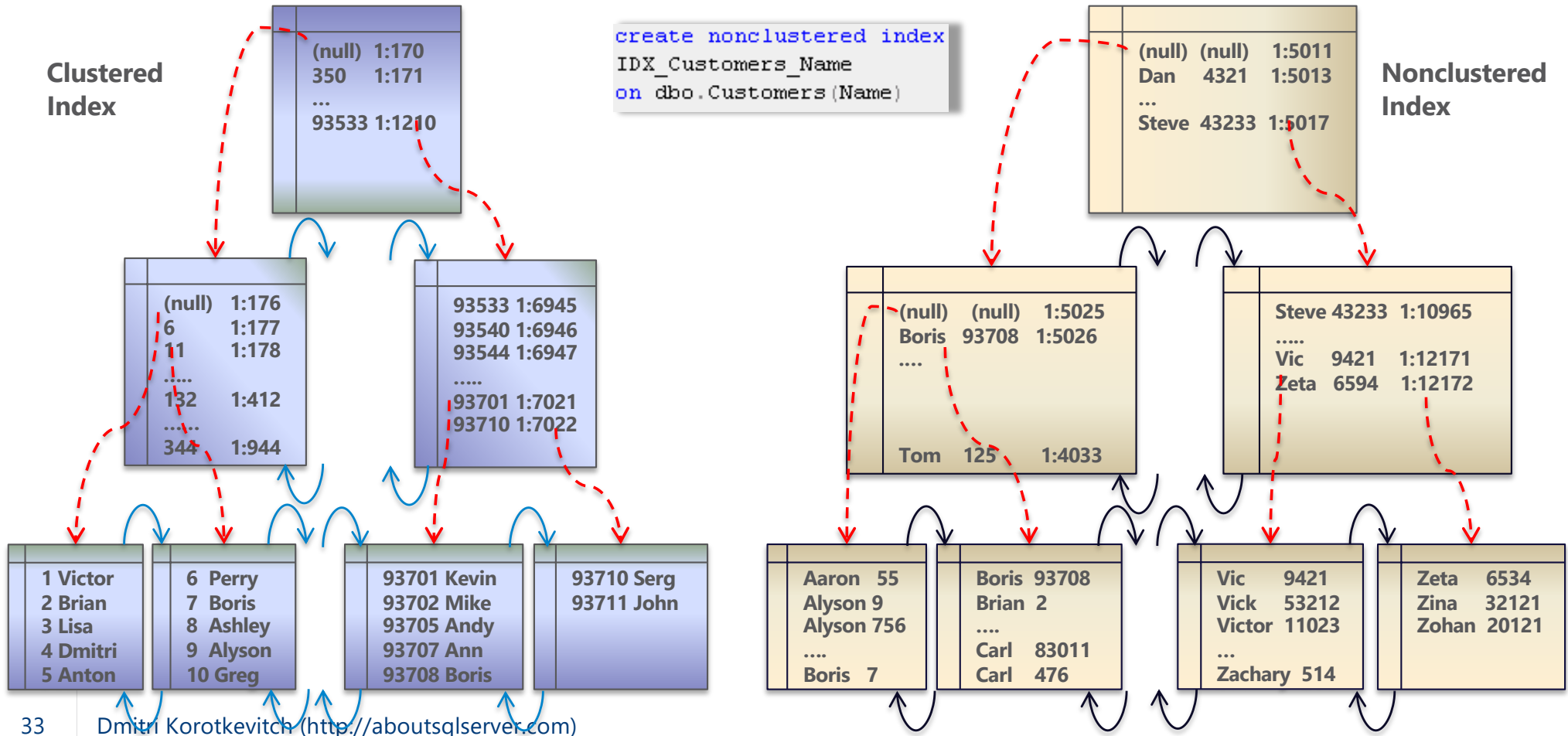


Spools

```
select count(*)
from dbo.T1 inner loop join dbo.T2 on
    T1.IndexedCol = T2.NonIndexedCol
where
    T1.IndexedCol <= 50
```



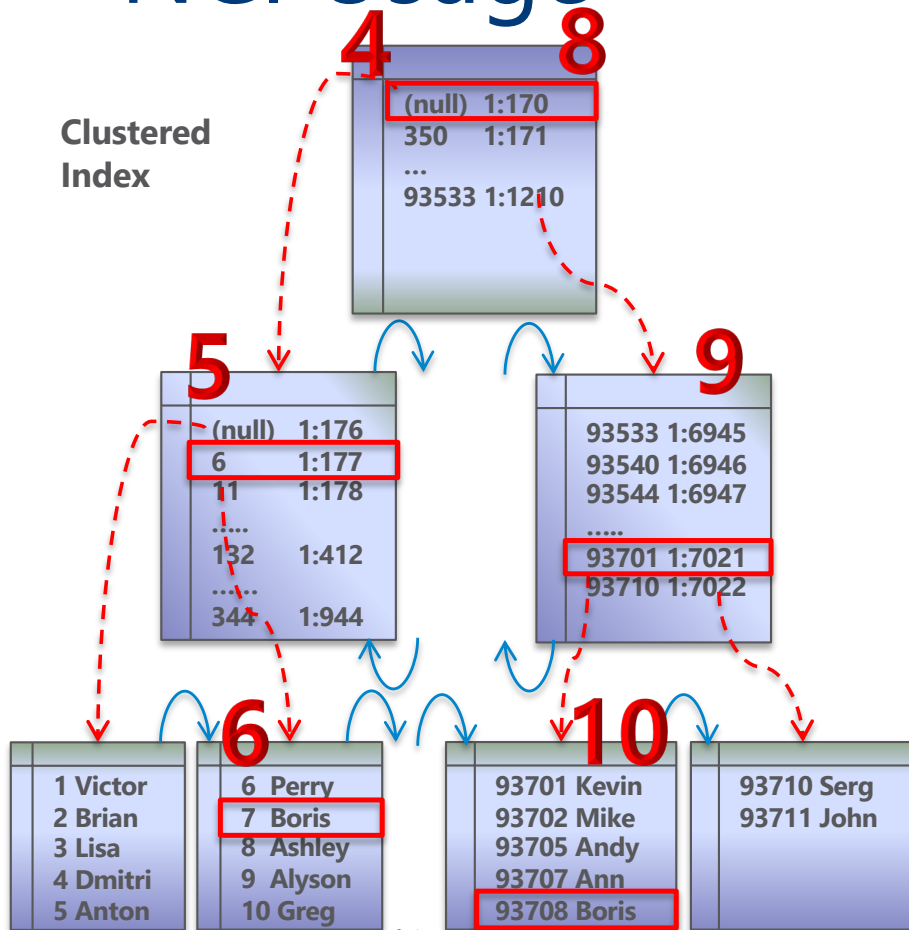
Nonclustered Index



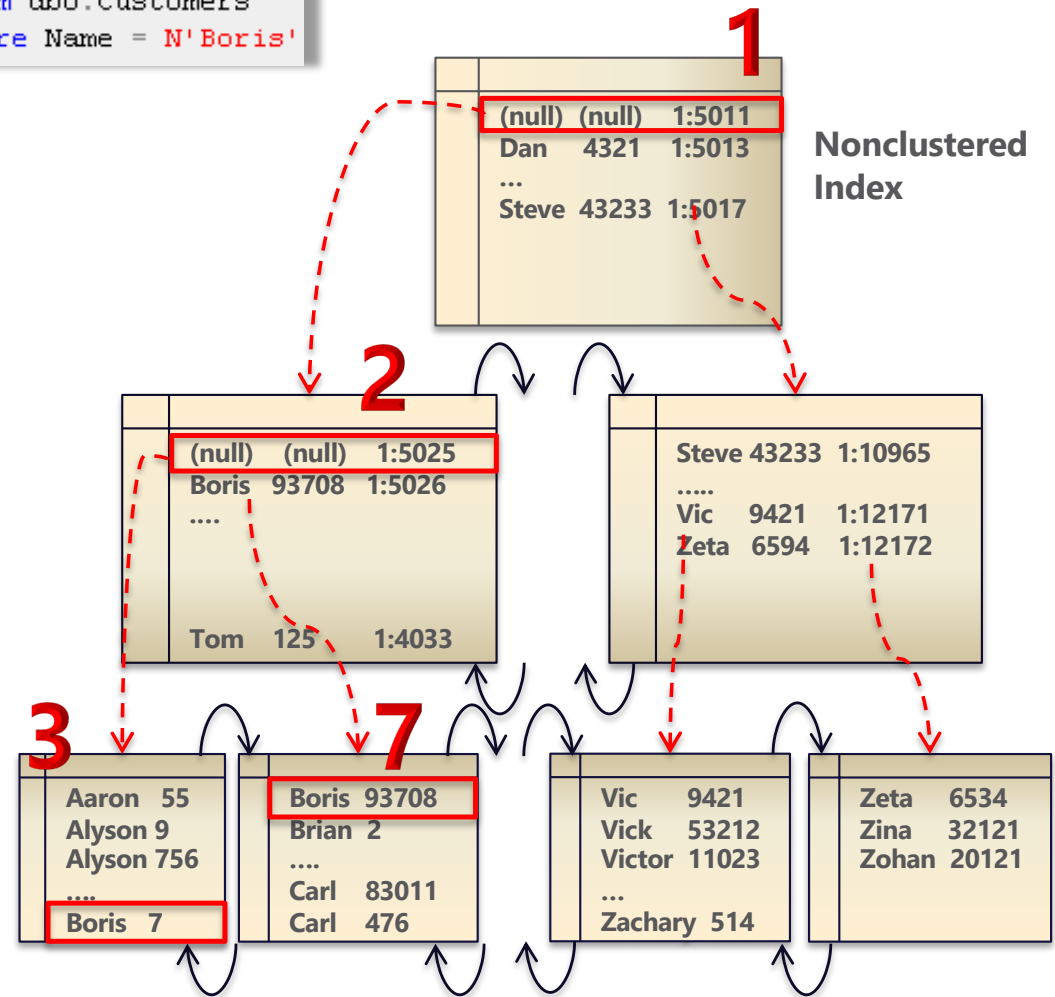
NCI Usage

```
select *
from dbo.Customers
where Name = N'Boris'
```

Clustered Index



Nonclustered Index





Key Lookup

Demo



Key Lookups

Key Lookups are Nested Loop Joins

- Fine and very efficient with small # of executions
- Inefficient and not used with large # of executions
- Beware of Cardinality Estimation Errors!

Can be avoided with included columns

- Remember about the overhead!



A Couple Tricks

Demo



Estimated vs. Actual Execution Plans

AKA -> Plans with and without run-time execution statistics

Plans without run-time execution statistics

- Will be affected by cardinality estimation errors
- Learn the data!



Estimated vs. Actual Execution Plans

AKA -> Plans with and without run-time execution statistics

Plans without run-time execution statistics

- Will be affected by cardinality estimation errors
- Learn the data!



Key Points

Analyze efficiency and selectivity of the Index Seek

- Seek Predicate, Predicate, Number of rows read vs. Actual number of rows, etc

Pay attention to join type choice

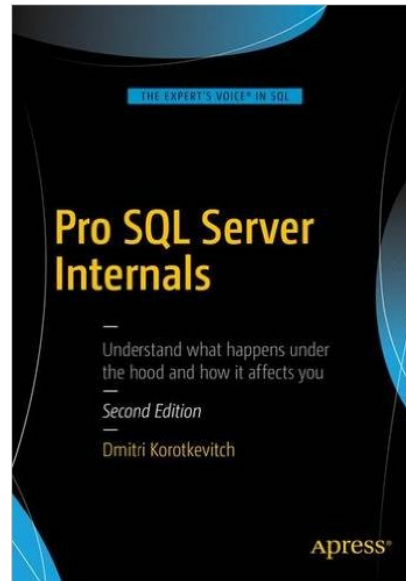
- Estimated vs. actual # of executions; cardinality estimation errors
- Cost of inner input in loop join

Analyze and address excessive Key Lookups

- Small # of Key Lookups is perfectly normal
- Remember the overhead of included columns

Beware of cardinality estimation errors!

Q&A



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E-mail: dk@aboutsqlserver.com

Slides and demos: <http://aboutsqlserver.com/presentations>



Thank You